

Application No. (if known): 09/938,273

Attorney Docket No.: 09619/000L151-US0

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. _____ in an envelope addressed to:

09367696855-US

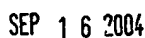
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on September 16, 2004
Date

Signature

D B Peck
Typed or printed name of person signing Certificate

Note: Postcard;
Amendment Transmittal Letter (1 pg.); and
Amendment After Final Action (37 C.F.R. Section 1.116) (8 pgs.)
Fee Transmittal for FY 2004 (1 pg.); and
Check No. 6129 for \$258



Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective 10/01/2003. Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

| | | |
|--------------------------------|-------------|---------------|
| TOTAL AMOUNT OF PAYMENT | (\$) | 258.00 |
|--------------------------------|-------------|---------------|

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/938,273 |
| Filing Date | August 23, 2001 |
| First Named Inventor | Yoshinobu Sugata |
| Examiner Name | L. B. Kiliman |
| Art Unit | 1755 |
| Attorney Docket No. | 09619/000L151-USO |

METHOD OF PAYMENT (check all that apply)

| | | | | |
|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Check | Credit Card | Money Order | Other | None |

 Deposit Account:

Deposit
Account
Number

04-0100

Deposit
Account
Name

Darby & Darby P.C.

The Director is authorized to: (check all that apply)

| | |
|-------------------------------|---|
| Charge fee(s) indicated below | <input checked="" type="checkbox"/> Credit any overpayments |
|-------------------------------|---|

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity | Small Entity |
|---------------------------------|--|--|
| 1. Revenue Recognition | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. |
| 2. Expense Recognition | Expenses are recognized when the related asset is consumed or the liability is incurred. | Expenses are recognized when the related asset is consumed or the liability is incurred. |
| 3. Asset Recognition | Assets are recognized when they are probable to generate future economic benefits and their cost can be reliably measured. | Assets are recognized when they are probable to generate future economic benefits and their cost can be reliably measured. |
| 4. Liability Recognition | Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be reliably measured. | Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be reliably measured. |
| 5. Equity Recognition | Equity is recognized as the residual interest in the entity after deducting all liabilities from assets. | Equity is recognized as the residual interest in the entity after deducting all liabilities from assets. |

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

| | | |
|---------------------|-------------|-------------|
| SUBTOTAL (1) | (\$) | 0.00 |
|---------------------|-------------|-------------|

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|-----------------------|-----------------|-------------------|----------|
| Total Claims | 18 | -20** = | |
| Independent Claims | 6 | -3** = | |
| Multiple Dependent | | | |

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|--|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

| | | |
|---------------------|-------------|---------------|
| SUBTOTAL (2) | (\$) | 258.00 |
|---------------------|-------------|---------------|

****or number previously paid, if greater; For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

| | | |
|---------------------|-------------|-------------|
| SUBTOTAL (3) | (\$) | 0.00 |
|---------------------|-------------|-------------|

SUBMITTED BY

Name (Print/Type) Jason C. Chumney

| | |
|--------------------------------------|--------|
| Registration No. (Attorney/Agent) | 54,781 |
|--------------------------------------|--------|

(Complete (if applicable))

| | |
|-----------|----------------|
| Telephone | (212) 527-7700 |
|-----------|----------------|

Signature

Date _____

September 16, 2004

Express Mail Label No.

Dated: _____

**AMENDMENT TRANSMITTAL LETTER**Docket No.
09619/000L151-US0Application No.
09/938,273Filing Date
August 23, 2001Examiner
L. B. KilimanArt Unit
1773

Applicant(s): Yoshinobu Sugata

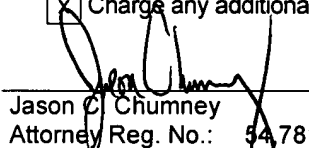
Invention: METHOD FOR MANUFACTURING A RECORDING MEDIUM

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|--|---|---|-----------------------------------|---------|--------|
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 18 | - 20 = | | x | |
| Independent Claims | 6 | - 3 = | 3 | x 86.00 | 258.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | |
| Other fee (please specify): | | | | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 258.00 |

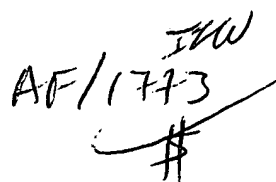
☒ Large Entity☐ Small Entity☒ No additional fee is required for this amendment.☐ Please charge Deposit Account No. _____ in the amount of \$ _____.☐ A check in the amount of \$ 258.00 to cover the filing fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-0100 as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.
Jason C. Chumney
Attorney Reg. No.: 54,781

Dated: September 16, 2004

DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
(212) 527-7783

Express Mail Label No.

Dated: _____



Dated: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: METHOD FOR MANUFACTURING A
RECORDING MEDIUM

AMENDMENT AFTER FINAL ACTION (37 C.F.R. SECTION 1.116)

Dear Sir:

INTRODUCTORY COMMENTS

In response to the final Office Action dated June 18, 2004, the Examiner is requested to amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 6 of this paper.

258.00 OP